



15992 U.S. PTO

EXPRESS MAIL RECEIPT NO. ER769642391US  
DEPOSITED ON FEBRUARY 13, 2004

**PATENT**  
DKT. OKC00086.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450**



**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): **Douglas M. Carson**

For (title): **IDENTIFIER TAG TO TRACK LAYERS IN A MULTI-LAYER OPTICAL DISC**

**1. Type of Application**

This application is for an original (nonprovisional).

**2. Papers Enclosed**

- A.** Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

12 Pages of Specification  
3 Pages of Claims  
4 Sheets of Drawings--Formal

- B.** Other Papers Enclosed

2 Pages of Declaration--unsigned  
1 Page of Abstract  
3 Pages of Application Data Sheet

**3. Additional Papers Enclosed**

Information Disclosure Statement (37 C.F.R. § 1.98), including PTO/SB/08A and 08B (Substitute for Form PTO-1449), citing 5 U.S. patent references  
An acknowledgment postcard

**4. Declaration or Oath**

Enclosed and unsigned by inventor.

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

An assignment of the invention to Doug Carson & Associates, Inc. will follow.

**8. Fee Calculation (37 C.F.R. § 1.16)**

Regular Application

CLAIMS AS FILED									
	Number Filed		Number Extra		Rate		Basic Fee 37 C.F.R. § 1.16(a) 770.00		
Total Claims (37 C.F.R. § 1.16(c))	20	—	20	=	0	x \$	18.00	= \$	0.00
Independent Claims (37 C.F.R. § 1.16(b))	3	—	3	=	0	x \$	86.00	= \$	0.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))						+ \$	290.00	= \$	0.00
Filing Fee Calculation								\$770.00	

**9. Assertion of Small Entity Status**

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27.

Filing Fee Calculation (50% of above Filing Fee Calculation) **\$385.00**

**10. Fee Payment Being Made at This Time**

Enclosed Filing Fee \$385.00

**Total Fees Enclosed \$385.00**

**11. Method of Payment of Fees**

Authorization is hereby made to charge the amount of **\$385.00** to Deposit Account No. **06-0540**.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

**12. Authorization to Charge Additional Fees**

The Office is hereby authorized to charge, in the manner shown above, the following additional fees that may be required by this paper and during the entire pendency of this application.

- 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. § 1.16(b), (c) or (d) (presentation of extra claims)
- 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

**13. Instructions as to Overpayment**

Credit Account No. 06-0540.

**ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED (37 C.F.R. § 1.78)**

**14. Relate Back**

**A. 35 U.S.C. § 119(e)**

This application claims the benefit of U.S. Provisional Application No.:

**APPLICATION NO.**  
60/447,620

**FILING DATE**  
02/13/2003

**Language of prior filed provisional application**

The above identified prior filed provisional application, namely application 60/447,620, filed 02/13/2003, whose benefit is being claimed was filed in the English language.

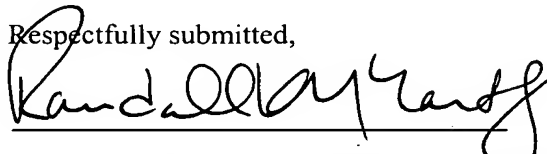
**15. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

- (a) This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor in this application is the same.

Date: \_\_\_\_\_

2/13/04

Respectfully submitted,



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